

COMMUNICATION ON ENGAGEMENT (COE)

Period covered by this Communication on Engagement: From: 2018 To: 2020

Part I. Statement of Continued Support by the Chief Executive or Equivalent

18 June 2021

To our stakeholders:

I am pleased to confirm that The Ethics Institute reiterate its support to the United Nations Global Compact and its Ten Principles in the areas of Human Rights, Labour, Environment and Anti-Corruption. This is our Communication on Engagement with the United Nations Global Compact. We welcome feedback on this content.

In this Communication on Engagement, we describe the actions that our organisation has taken to support the UN Global Compact and its Principles as suggested for an organisation like ours.

We also commit to sharing this information with our stakeholders using our primary channels of communication.

Sincerely yours,

Prof GJ Rossouw, CD(SA)
Chief Executive Officer
The Ethics Institute

Part II. Description of Actions

Please use the box below to describe the actions your organization has taken in support of the Global Compact. It is strongly recommended that the actions taken are related to one or more of the specific activities suggested. *Please refer to the complete list of suggested activities for your type of organization found here.*

Academic

Staff members of The Ethics Institute were involved in the following academic activities in 2018 - 2020, incorporating GC principals through several activities:

Staff members of TEI were involved in the following academic activities:

Academic engagements:	
<i>Position</i>	<i>Institution</i>
Extraordinary Professor in Philosophy	University of Stellenbosch
Adjunct Professor in Organisational Psychology	University of Cape Town
M. Phil in Applied Ethics (External examiner)	St Augustine College of South Africa
International Lecturer	University of Applied Science, MCI Austria
Senior Research Associate	University of Johannesburg
Lectures	
<i>Qualification</i>	<i>Institution</i>
Corporate Governance Certificate Programme	University of Johannesburg
Master's in Organisational Psychology	University of Cape Town
M.Phil in Applied Ethics	University of Stellenbosch
B. Architecture	University of Pretoria
Turkish Journal of Business Ethics	University of Innsbruck
Editorial Board of the Journal of Economic and Financial Sciences	Graduate School of Business, University of Cape Town
Audit and Accounting Honours	Monash, Ruimsig
Faculty of Commerce Ethics Seminar	University of Cape Town
Master's in Industrial / Organisational Psychology (Ethics)	University of Johannesburg
Members of Editorial Boards (Journals) and article reviews	
Journal of Business Ethics	
African Journal of Business Ethics	
African Journal of Business Ethics: Article peer review	
Turkish Journal of Business Ethics	
Editorial Board of Turkish Journal of Business Ethics	
Editorial Board of the Journal of Economic and Financial Sciences	
Academic books published	
Editor: Ethics for Accountants and Auditors (4th edition, January 2019), Oxford University Press, Cape Town	
Three staff members of The Ethics Institute contributed eight chapters in total to Ethics for Accountants and Auditors	
Academic articles	
Deon Rossouw edited a Special Edition of the Journal of Global Responsibility on the 'Evolution of the King Reports on Corporate Governance in South Africa'	

Staff members conducted research and published the following resources at no cost to the global business community:

Code of Ethics Handbook
Ethics Ambassador Handbook
Whistle-blowing Handbook

Deon Rossouw and Leon van Vuuren
Paul Vorster, Fatima Rawat
Liezl Groenewald

Business Associations

- The Ethics Institute provided support to the Ethics Practitioners Association in South Africa (EPA).
- The Ethics Institute is currently engaged with various business associations, representing several professional associations in Mozambique focused on enhancing sustainable business practices including but not limited to the Institute of Corporate Governance - Mozambique, Associação de Comércio e Indústria (ACIS), Confederation of Trade Associations (CTA), The Institute for the Promotion of Small and Medium Enterprises (IPEME), and Ordem dos Contabilistas e Auditores de Moçambique (OCAM).
- Actively collaborating to increase awareness on best practices and corruption prevention in partnership with the Business Ethics Network of Africa (BEN-Africa).
- Continued engagement with Globeethics.net based in Geneva Switzerland.
- The Ethics Institute provided support to the Business sector based on good governance and leadership in the field of business ethics. Organisations includes, but not limited to Discovery Group, MTN Group, Department of Trade and Industry (DTI), Distell, Edcon, Institute of Business Ethics (UK), Land Bank, Massmart Walmart, Rand Mutual Assurance, Remgro, Santam, Westcoast College, Government Institutions Pension Fund Harmony Mines (Namibia) Namibian Port Authority, National Prosecuting Authority, Northam Platinum, and Stadio Holdings.
- The Ethics Institute delivers the UN principles through partnerships and anti-corruption capacity building with various associations, but not limited to The Institute of Directors, (IoD), Mauritius Institute of Directors, The Ethics Centre (Australia), The South African Institute of Chartered Accountants (SAICA), Institute of Internal Auditors (IIA), the South African Institute for Professional Accountants (SAIPA) and the Anti-Intimidation and Ethical Practices Forum (AEPF).

Cities

- The Ethics Institute launched an Ethically Aware Supplier Induction programme based upon Global Compact principles, successfully piloted in Johannesburg, Cape Town and Pretoria – South Africa.
- Within the Gauteng Province, South Africa building capacity for ethics management and better business practices in the City of Tshwane, City of Johannesburg, Ekurhuleni Metropolitan, Rand West, Midvaal, Merafong, Lesedi and Mogale City.
- The Ethics Institute continued ethics management capacity building in Maputo – Mozambique, Manzini – Swaziland, Windhoek – Namibia, Cape Town – South Africa.
- Guided by the United Nations Global Compact Africa office (Pretoria) connections were established between TEI and UNGC Lagos Nigeria, as well as the Addis Ababa Chamber of Commerce and Sectoral Associations (AACCSA).
- Launched the Ethically Aware Supplier Induction programme in partnership with the UNGC network in Nairobi – Kenya, Lagos - Nigeria, Addis Ababa – Ethiopia and Accra – Ghana.

Civil Society

- Participated in three Global Compact network sessions hosted virtually.
 - The Ethics Institute delivers the Global Compact principles through partnerships and anti-corruption capacity building with civil society organisations such as the Coalition for Ethical Operations, Coalition for Organisational Integrity and Corruption Watch.
 - In collaboration with the Coalition for Ethical Operations, TEI was awarded the Gauteng Premier's Service Excellence Award for the advancement of business integrity and anti-corruption awareness.
- Participated in Spring Meetings hosted by WorldBank and International Monetary Fund, in collaboration with UNGC Brazil.
- Collaborated with Basel Institute on Corporate Governance (Basel-Switzerland) on sustainable business practices and collective action initiatives around the globe.

Public Sector

- The Ethics Institute works closely with various departments in the public sector in South Africa, building anti-corruption capacity serving the UN principles.
- The Ethics Institute is actively working with state-owned enterprises to build the necessary capacity to deliver on their public obligations.

Part III. Measurement of Outcomes

Please use the box below to include the most relevant qualitative and/or quantitative indicators to measure the outcome of the activities described in Part II above. [Write here]

Examples of measurement of outcomes include:

- ☐ *Number of new UN Global Compact business participants resulting from your organization's promotional efforts*
- ☐ *Expertise provided by your organization to further the aims of Global Compact Local Network in your country*
- ☐ *Concrete actions taken by UN Global Compact business participants with whom your organization engaged regarding their COP*
- ☐ *Partnerships formalized with mutual aims to advance the UN Global Compact principles*

Outcomes 2018-2020

- Participation in activities of the local UNGC network providing advice and sharing experiences, providing leadership in the field of business ethics.
- Working diligently to instill better business practices in both the public and private sector in South Africa, in the fight against corruption through capacity building focused on ethics, corporate responsibility and sustainability.
- Active contributor to good governance in South Africa through participation in development of the King IV report, as well as the launch and promotion thereof.
- Established partnership with Corporate Governance Academy, based in Johannesburg, South Africa.
- Established a collective action platform to advance business integrity in Mozambique in both private and public sectors offering capacity building on corruption prevention and business ethics.

Supported activities of a business coalition to enhance business practices for medium and large organisations in South Africa providing ethics leadership based on the ten GC principles.

- Offered several pro bono services to organisations on ethics awareness, corporate responsibility and anti-corruption management including:
 - o King IV Committee on Governance for South Africa
 - o Institute of Directors, South Africa
 - o Society of Industrial and Organisational Psychology of South Africa
 - o Association of Certified Fraud Examiners
 - o Ethics Practitioners Association
 - o Coalition for Ethical Operations